



**CIE** Automotive

Information and Communication  
Policy for Shareholders and the  
Markets

---

 <b>CIE Automotive</b>	<b>INFORMATION AND COMMUNICATION POLICY FOR  SHAREHOLDERS AND THE MARKETS</b>	Code:	CIE CO CE PO 01
		Review:	03
		Page:	2 of 6

## Contents

1. General principles .....	3
2. Information and channels of communication.....	4
3. General Meeting .....	5
4. Control.....	6

 <b>CIE Automotive</b>	<b>INFORMATION AND COMMUNICATION POLICY FOR SHAREHOLDERS AND THE MARKETS</b>	Code:	CIE CO CE PO 01
		Review:	03
		Page:	3 of 6

The Board of Directors of CIE Automotive, S.A. ("**CIE Automotive**" or the "**Company**" or the "**Group**", interchangeably) recognises as a **strategic objective continued focus on the transparency of information and its relations with shareholder and the markets in general**, which are governed, in general, by the law and in the Company's Corporate Governance and, specifically, by the principles established in this "Information and Communication Policy for Shareholders and the Markets".

## 1. General principles

The Board of Directors is authorised to **manage and supervise, at the highest level, the information provided to shareholders and to the markets in general, taking the appropriate measures to protect its rights** within the framework of defending corporate interests, all in accordance with the following principles:

- a) Dissemination of information in a manner that is transparent, clear, accurate, homogeneous and simultaneous, that enables recipients to assess the management and financial results of the Company.
- b) Equal treatment in the recognition and exercise of the rights of all shareholders whose circumstances are identical and who are not involved in conflicts of interest.
- c) Protection of the legitimate rights and interests of all shareholders.
- d) Fostering the provision of information to shareholders on an ongoing and continuous basis and not only in conjunction with General Meetings, for which the Board proposes providing effective channels to facilitate the exchange of information with shareholders so that they are always informed regarding the proposals that may be made in relation to the Company's management in accordance with the law and Corporate Governance rules.
- e) Collaboration with shareholders so that practices related to reporting and its relationship with the markets are transparent, effective and consistent with corporate interests.
- f) Development of information tools and channels of communication that make it possible to take advantage of the benefits of new technologies in shareholder relations.
- g) Compliance with the law and in Corporate Governance of the Company and the principles of cooperation and transparency with the competent authorities and regulatory bodies.

These principles apply to the information and the Company's relationships with shareholders, the markets and other stakeholders or related parties, such as intermediary financial institutions, regulatory and supervisory bodies, credit rating agencies, news agencies and proxy agents, among others.

Without prejudice to the principles of equality of treatment, non-discrimination and information symmetry, the Company may adapt the information tools, channels of communication and other initiatives related to informing and communicating with shareholders and the markets, in accordance with the various recipient groups.

Particularly, with regard to regulatory compliance, the rules on the treatment of insider information and relevant information contained in the law and Corporate Governance will be taken into account.

<b>Issued and reviewed:</b> Audit and Compliance Committee	<b>Approved:</b> Board of Directors	<b>Date:</b> October 2019
------------------------------------------------------------	-------------------------------------	---------------------------

 <b>CIE Automotive</b>	<b>INFORMATION AND COMMUNICATION POLICY FOR SHAREHOLDERS AND THE MARKETS</b>	Code:	CIE CO CE PO 01
		Review:	03
		Page:	4 of 6

## 2. Information and channels of communication

### 2.1. Spanish National Securities Market Commission and other bodies

The first general channel through which the Company provides information to shareholders and the markets is the Spanish National Securities Market Commission ("CNMV"), as well as, where applicable, the channels established by other foreign supervisory authorities and bodies, with the dissemination and public nature that, the information sent to these institutions through the publication of significant events on their web pages, which are included simultaneously in the corporate web page of the Company, immediately acquire.

### 2.2. Corporate web page

Together with the CNMV, the Company's other essential official channel of communication with shareholders and markets is its corporate web page ([www.cieautomotive.com](http://www.cieautomotive.com)) that has a specific area dedicated to Shareholders and Investors and that is continuously updated, enabling immediate publication and subsequent access to the information, making transparency the priority in the Company's relationships with shareholders, the markets and the public in general.

To facilitate foreign shareholder and investor queries, the information that the Company provides through its corporate web page is published simultaneously, provided that it is possible, in Spanish and English, with the Spanish prevailing in the event of discrepancy.

The presentation of the quarterly interim management statements, half-yearly and yearly financial reports, as well as other institutional presentations and significant events in general are published systematically on the corporate web page.

Likewise, for a reasonable period of time, the Company provides direct access on its corporate web page to the recordings of the conference in which it releases its results.

The Company's financial information and institutional and general information, after having been sent to the CNMV, whenever mandatory, is disseminated through its communication to financial analysts, institutional investors, the media and news agencies.

In addition, on its corporate web page, the Company continuously updates the dates on which it is scheduled to release its results in the coming quarters, the dividend schedule and announces meetings with analysts and investors with sufficient advance warning indicating the venue, place and date.

### 2.3. Internal coordination for the dissemination of news likely to contain relevant information

To that information likely to be considered relevant for the market is disseminated under transparent and symmetrical conditions and in accordance with the law and the Corporate Governance of the Company, the following rules for internal coordination have been established:

- a) If the information generated by the Company is likely to be classified as relevant to the market or there is any doubt regarding the obligation to report it to the CNMV in accordance with the law and Corporate Governance, the General Secretary of the Company is responsible for determining whether a significant event must first be sent

<b>Issued and reviewed:</b> Audit and Compliance Committee	<b>Approved:</b> Board of Directors	<b>Date:</b> October 2019
------------------------------------------------------------	-------------------------------------	---------------------------

 <b>CIE Automotive</b>	<b>INFORMATION AND COMMUNICATION POLICY FOR SHAREHOLDERS AND THE MARKETS</b>	Code:	CIE CO CE PO 01
		Review:	03
		Page:	5 of 6

to the CNMV and, where applicable, for its preparation, revision and transmission through the authorised liaisons designated by the Company for such purposes.

- b) Communications of relevant information must be reported to the CNMV by the person designated for such purposes. The Company will designate one or several authorised persons to respond to questions that may be posed by the aforementioned body in relation to the relevant information.
- c) Communications to the CNMV must be made prior to or together with its dissemination by any other means, and the various communications made must be consistent.
- d) Information generated by the Company by any means may be communicated to shareholders and the markets in a manner not subject to the foregoing provided that there is no doubt that it is not necessary to communicate the aforementioned information to the CNMV as a significant event in accordance with the law and the Corporate Governance of the Company.

#### **2.4. Shareholder and institutional investor relations**

The Investor Relations Department is in charge of addressing shareholders', investors' and analysts' queries on an ongoing and individualised basis.

Likewise, informational meetings are organised to address the Company's performance or other matters of interest to shareholders, investors and analysts so that they have appropriate information regarding the Company. All the foregoing must be understood without prejudice to the Company's strict respect for the principle of equal treatment of all shareholders whose circumstances are identical and who are not involved in conflicts of interest.

In addition, the Investor Relations mailbox is dedicated to addressing requests from shareholders, investors and analysts who wish to obtain information about the Company. To that end, the Company has enabled the following e-mail address: [ir@cieautomotive.com](mailto:ir@cieautomotive.com).

#### **2.5. Whistle-blowing Channel**

The Company has a whistle-blowing channel on its corporate web page through which everyone who forms part of the organisation may report irregularities or breaches that are unethical, lack integrity or violate the guidelines established in the Code of Conduct. All communications received are treated as confidential.

### **3. General Meeting**

The Board of Directors agrees to foster the informed and responsible participation of shareholders in the General Meeting and take any measures considered appropriate to enable the General Meeting to effectively exercise its inherent duties in accordance with the law and the Corporate Governance of the Company.

When the General Meeting is called, the Company may use the services of intermediary entities to better distribute the information to its shareholders and investors and the Investor Relations Department may adapt the methods and tools for proxy and absentee voting to the investors' characteristics.

<b>Issued and reviewed:</b> Audit and Compliance Committee	<b>Approved:</b> Board of Directors	<b>Date:</b> October 2019
------------------------------------------------------------	-------------------------------------	---------------------------

 <b>CIE Automotive</b>	<b>INFORMATION AND COMMUNICATION POLICY FOR SHAREHOLDERS AND THE MARKETS</b>	Code:	CIE CO CE PO 01
		Review:	03
		Page:	6 of 6

The intermediary entities must report to the shareholders regarding the rights that they may exercise and take responsibility for the instructions that they transmit to the Company on behalf of their clients are valid and reliably consistent with those received from the shareholders or the representatives of the shares held.

Additionally, from the moment the meeting notice is published and, at least until completion of the General Meeting, the Company must publish, without interruption, on its corporate web page the information required by the law and the Corporate Governance of the Company.

#### **4. Control**

The application of this "Information and Communication Policy for Shareholders and the Markets" is subject to periodic review by the Audit and Compliance Committee.

The company's Board of Directors is periodically informed of the application of this "Information and Communication Policy for Shareholders and the Markets" and the main relationships that the Company maintains with shareholders, analysts and investors.

<b>Issued and reviewed:</b> Audit and Compliance Committee	<b>Approved:</b> Board of Directors	<b>Date:</b> October 2019
------------------------------------------------------------	-------------------------------------	---------------------------